

Attorney's Docket No.: 17109-013001 / 923

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Art Unit : 1631

Applicant: Rene Gantier et al.

Serial No.: 10/658,355 Examiner: Russell Scott Negin

Filed: September 8, 2003 Conf. No.: 3519

Cust. No. : 20985

Title : RATIONAL DIRECTED PROTEIN EVOLUTION USING TWO-

DIMENSIONAL RATIONAL MUTAGENESIS SCANNING

## Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## TRANSMITTAL LETTER

Dear Sir:

Transmitted herewith are a Supplemental Information Disclosure Statement (2 pages), Form PTO-1449 (1 page), cited references, a check for the requisite fee of \$180.00, and a return postcard for filing in connection with the above-captioned patent application.

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The Commissioner is hereby authorized to charge the fee for the extension of time and any other fee that may be due in connection with this and the attached papers or with this application during its entire pendency to Deposit Account No. 06-1050. A duplicate of this sheet is enclosed.

Respectfully submitted,

Stephanie Seidman Reg. No. 33,779

Attorney Docket No. 17109-013001 / 923

Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real San Diego, California 92130

Telephone: (858) 678-4777 Facsimile: (202) 626-7796 email: seidman@fr.com

CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 740125303 US

Date of Deposit September 26, 2006

I hereby certify that this paper is being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated above and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA, 22313-1450.

Stephanie Seidman



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# SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT IN ACCORDANCE WITH 37 C.F.R. §§1.97-1.98

Dear Sir:

Because this Supplemental Information Disclosure Statement is after receipt of a first Office Action on the Merits of the above-captioned application, the filing fee of \$180 is enclosed. If no proper payment is enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal, or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1050.

In accordance with the duty of disclosure imposed by 37 C.F.R. §1.56 to inform the Patent Office of all information known by Applicant or Applicant's representative that may be material to the examination of the subject application, Applicant's representative hereby provides this Supplemental Information Disclosure Statement that is prepared in accordance with 37 C.F.R. §§1.97-1.98. Form PTO-1449 (1 page) and copies of the cited documents are provided herewith in connection with the above-captioned application.

> CERTIFICATE OF MAILING BY "EXPRESS MAIL" "Express Mail" Mailing Label Number EV 740125303 US

Date of Deposit September 26, 2006

I hereby certify that this paper's being deposited with the United States Postal "Express Mail Post Office to Addressee" Service under 37 CFR §1.10 on the date indicated dove and is addressed to: Commissioner for Patents, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria,

VA, 22313-1450.

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Applicant: Rene Gantier et al.

Serial No.: 10/658,355

Filed: September 8, 2003

Attorney's Docket No.: 17109-013001 / 923 Supplemental Information Disclosure Statement

The documents listed on the Form PTO-1449 are in the English language. Hence, in accordance with the requirements of 37 C.F.R. §1.98, as amended effective March 16, 1992, no further explanation of the listed item is necessary.

Further to the list provided in the communication filed on July 12, 2006, applicant also makes known to the Examiner the following pending U.S. Application that has one or more common inventors and/or is commonly owned:

U.S.S.N.	Filing Date	Publ. No.	Publ. Date	Docket No.
11/476,173	06/26/06	n/a	n/a	925B

Although these documents are made known to the Patent and Trademark Office in compliance with Applicant's duty of disclosure, such disclosure is not to be construed as an admission by Applicant or Applicant's representative that any of the information, singly or in any combination thereof, is effective as prior art against the subject application. In accordance with 37 C.F.R. §1.97 (g and h), the filing of this Supplemental Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 C.F.R. §1.56 (b) exists.

Applicant respectfully requests that the Examiner review the foregoing documents and make them of record in the file history of the above-captioned application.

Respectfully submitted,

Stephanie Seidma

Attorney Docket No. 17109-013001 / 923

Address all correspondence to:

Stephanie Seidman Fish & Richardson P.C. 12390 El Camino Real

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email: seidman@fr.com

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Filing Date

Translation

No

Yes

Substitute Form (#10-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Publication

**Publication** 

Date

Attorney's Docket No. 17109-013001 / 923

Application No. 10/658,355

List of Patents and Publications for Applicant's Information Disclosure Statement

Document

Document

Number

none

Applicant

Rene Gantier et al.

Filing Date

Group Art Unit

Subclass

(37 CFR §1.98(b))

Examiner

Initial

Examiner Desig.

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ID

AB

U.S. Patent Documents

Country or

Patent Office

1631

Class

	Foreig	n Patent Doc	uments or Pu	ublished Foreign F	Patent A	Application	ns	1
	AA	none						
Initial	ID	Number	Date	Patentee	Class	Subclass	If Appropriate	1

	Other Documents (include Author, Title, Date, and Place of Publication)			
Examiner	Desig.			
tnitial	ID	Document		
	AC	Giver et al. "Directed Evolution of a Thermostable Esterase," PNAS (1998) 95: 12809-12813		
	AD	Persson et al. "Virus-Receptor Interaction in the Adenovirus System: Characterization of the Positive Cooperative Binding of Virions on HeLa Cells," Journal of Virology (1985) 54: 92-97		

Examiner Signature

Date Considered

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.